



<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence during pendency of filed application)</i>	000 WPTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number	10/729,257
			Filing Date	December 4, 2003
			First Named Inventor	Hidetoshi Yokota
			Group Art Unit Number	2153
			Examiner Name	Not Yet Known
Total Number of Pages in This Submission	5	Attorney Docket Number	23690-07983	

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> Assignment & Recordation Cover Sheet <input type="checkbox"/> Declaration <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08A <input type="checkbox"/> Copies of IDS Cited References <input type="checkbox"/> Request for Corrected Filing Receipt  <input type="checkbox"/> Request for Correction of Recorded Assignment <input type="checkbox"/> Amendment/Response: [ ] Page(s) <input type="checkbox"/> After Final <input type="checkbox"/> Status Request <input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Letter to Chief Draftsperson <input type="checkbox"/> Formal Drawing(s): [ ] Sheet(s) of Figure(s) [ ] <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> After Allowance Communication to Group <input checked="" type="checkbox"/> Notification Regarding Payment of Fees as a Small Entity and Deficiency Payment (37 CFR §1.28(c)) _____ _____ _____ _____ _____
REMARKS:	

SIGNATURE OF ATTORNEY OR AGENT			
Signature:	<i>Sabra-Anne R. Truesdale</i>		
Attorney/Reg. No.:	Sabra-Anne R. Truesdale, Reg. No. 55,687	Dated:	4-6-04

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:	<i>Sabra-Anne R. Truesdale</i>		
Typed or Printed Name:	Sabra-Anne R. Truesdale	Dated:	4-6-04
Express Mail Mailing Number (optional):			

# FEE TRANSMITTAL

APR 09 2004

## for FY 2004

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT (\$)** 906

### Complete if Known

Application Number 10/729,257  
Filing Date December 4, 2003  
First Named Inventor Hidetoshi Yokota  
Examiner Name Not Yet Known  
Art Unit 2153  
Attorney Docket No. 23690-07983

### METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None  
☐ Deposit Account:

Deposit Account Number 19-2555

Deposit Account Name Fenwick & West LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

**SUBTOTAL (1) (\$)** .00

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	= 00
Multiple Dependent	-3** =	X	= 00

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2) (\$)** .00

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(g)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) Notification Regarding Payment of Fees as a Small Entity and Deficiency Payment (37 CFR §1.28(c))

906

**SUBTOTAL (3) (\$)** 906

\*Reduced by Basic Filing Fee Paid

### SUBMITTED BY

Name (Print/Type)	Registration No. (Attorney/Agent)	55,687	Complete (if applicable)
Sabra-Anne R. Truesdale			Telephone (650) 335-7187
Signature	<i>Sabra Anne Truesdale</i>	Date	4-6-04



Image

DAC/K  
(5)

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

APPLICANTS: Hidetoshi Yokota, et al.  
APPLICATION NO.: 10/729,257  
FILING DATE: December 4, 2003  
TITLE: AUTOMATIC IPV6 CONNECT AGENT DISCOVERY USING DNS  
EXAMINER: Not Yet Known  
GROUP ART UNIT: 2153  
ATTY. DKT. NO.: 23690-07983

CERTIFICATE OF MAILING			
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Signature:	<i>Sabra-Anne R. Truesdale</i>		
Typed or Printed Name:	Sabra-Anne R. Truesdale, Reg. No. 55,687	Dated:	4-6-04
Express Mail Mailing Number (optional):			

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

NOTIFICATION REGARDING PAYMENT OF FEES AS A  
SMALL ENTITY AND DEFICIENCY PAYMENT (37 C.F.R. § 1.28(c))

On December 4, 2003, Applicants claimed small entity status in this application. The following fees were paid as a small entity:

Fee Paid as Small Entity	Date	Amount Paid	Deficiency Owed	Total Deficiency Owed
Filing Fee for Application	December 4, 2003	\$906	\$906	\$906

04/13/2004 MAHHE1 00000004 10729257

01 FC:1001 770.00 OP  
02 FC:1202 612.00 OP  
03 FC:1201 430.00 OP

Although Applicants believed in good faith that they were entitled to small entity status, they have discovered that they may not be entitled to small entity status for this application. Accordingly, payment is attached for the sum of \$906.00 for the deficiency between the amount of fee(s) paid and the amount that would be due without small entity status. Attached is a check, which covers this amount. Charge any additional fees required by this paper or credit any overpayment in the manner authorized above to Deposit Account No. 19-2555.

Status as a small entity is hereby withdrawn.

Respectfully submitted,  
HIDETOSHI YOKOTA, ET AL.

Dated: 4-6-04

By: Sabra-Anne Truesdale

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